

RESEARCH ARTICLE

Prevalence of Extended Spectrum Beta-Lactamases among *Escherichia coli* and *Klebsiella spp* isolates in Manipal Teaching Hospital, Pokhara, Nepal

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ABSTRACT

Objective: To isolate, identify and phenotypically characterize extended spectrum beta-lactamase (ESBL)-producing *Escherichia coli* and *Klebsiella spp* in Manipal Teaching Hospital.

Methods: Cross sectional study was conducted among *E. coli* and *Klebsiella spp* recovered from patients' various samples to establish the prevalence of organisms producing ESBL in Manipal Teaching Hospital, Pokhara, Nepal between October 2011 and April 2012. ESBL production was detected by Clinical laboratory Standards Institute (CLSI) recommendations.

Results: *E. coli* (n=285) and *Klebsiella spp* (n=55) were isolated from various clinical samples. The specimens were urine 255 (75%), blood 18 (5.3%), pus 48 (14.1%), sputum 17 (5.1%), and body fluid 2 (0.6%). Seventy six (22.4%) were ESBL producing organisms by phenotypic confirmatory test with double disk diffusion method. ESBL group of organisms showed 100% resistance to ampicillin and cefotaxime. All the organisms in this study were 100% sensitive to imipenem and 95.6% sensitive to cefoperazone+sulbactam combination. ESBL producing isolates showed high rate of resistance to ciprofloxacin (90.7%), ceftriaxone (89.4%), ceftazidime (89.4%), cotrimoxazole (90.4%) and norfloxacin (88.1%) as compared to non-ESBL group.

Conclusion: ESBL producing *E. coli* and *Klebsiella spp* showed high prevalence in Nepal. Routine laboratory testing for ESBL in Nepalese hospitals is needed in order to optimize antibiotic management and reduce the risk of spread of infections caused by ESBL producers. *J Microbiol Infect Dis* 2015;5(2): 69-75

Key words: Extended spectrum beta-lactamase, ESBL, *E. coli*, *Klebsiella spp*, Prevalence, Nepal

Bir Nepal hastanesinde *Escherichia coli* and *Klebsiella spp*. türlerinde genişlemiş spektrumlu beta-laktamaz sıklığı

ÖZET

Amaç: Manipal Eğitim Hastanesi'nde genişlemiş spektrumlu beta-laktamaz (GSBL) üreten *Escherichia coli* and *Klebsiella spp* izolatlarını tanımlamak

Yöntemler: Nepal Pokhara'da yer alan Manipal Eğitim Hastanesi'nde hastaların çeşitli klinik örneklerinden izole edilen *E. coli* and *Klebsiella spp*. suşlarında GSBL sıklığını belirlemek için Ekim 2011 ve Nisan 2012 arasında kesitsel bir çalışma yapıldı. GSBL üretimi "Clinical laboratory Standards Institute" (CLSI) önerilerine göre belirlendi.

Bulgular: Çeşitli klinik örneklerden izole edilen 285 *E. coli* ve 55 *Klebsiella spp* (n=55, % 16,2) çalışmaya dahil edildi. Örnekler in 255'i (% 75) idrar, 18'i (% 5,3) kan, 48'i (% 14,1) pü, 17'si (% 5,1) balgam ve 2'si (% 0,6) vücut sıvısı idi. Çift disk difüzyon ile yapılan fenotipik konfirmasyon testine göre 76 (% 22.4) izolat GSBL ürettiyordu. GSBL üreten mikroorganizmaların hepsi (% 100) ampisilin ve seftriaksona dirençli idi. Çalışmaya dahil edilen izolatların % 100'ü karbapenemlere, % 95,6'sı ise sefaperazon-sulbaktama duyarlı idi. GSBL üreten izolatlar siprofloksasin (% 90,7), seftriakson (% 89,4), seftazidim (% 89,4), kotrimaksazol (% 90,4) ve norfloksasin (% 88,1) GSBL üretmeyen gruba göre daha yüksek oranda dirençli idiler.

Sonuç: Nepal'de GSBL üreten *E. coli* and *Klebsiella spp*. prevalansı yüksek bulundu. Nepal hastanelerinde antibiyotik tedavisinin optimize edilmesi ve GSBL üreten mikroorganizmaların yayılımının engellenmesi için laboratuarda rutin olarak GSBL belirlemeye yönelik testler uygulanmalıdır.

Anahtar kelimeler: Genişlemiş spektrumlu beta-laktamaz, GSBL, *E. coli*, *Klebsiella spp.*, prevalans, Nepal

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INTRODUCTION

Beta-lactam drugs like penicillins, cephalosporins, carbapenems and aztreonam are common antibiotics used to combat most bacterial infections. Multiple factors are responsible for the emergence of antibiotics resistance such as their use, doses, and the clinical practices concerning isolation of patients infected with multidrug resistant pathogens.¹ Increased use of broad spectrum antibiotics such as third generation cephalosporins has been co-related with the development of β -lactamases mediated bacterial resistance, which subsequently led to the emergence of Extended spectrum beta-lactamases (ESBLs) producing organisms.²

ESBLs are characterized as the β -lactamases that are capable of producing bacterial resistance to penicillin, extended spectrum cephalosporins and monobactams except cephamycins and carbapenems. Beta lactamase inhibitors like clavulanic acid inhibits ESBLs.³

At present, more than 200 ESBLs have been characterized.³ Members of Enterobacteriaceae, especially *Klebsiella spp* that produce ESBL have been established since 1980s. Several community acquired pathogens like *Escherichia coli*, *Salmonella*, *Shigella* and *Vibrio cholera* which are often the causative agents of urinary tract infections and diarrhea were ESBL producers.⁴ ESBLs are commonly encoded by genes present on large plasmids. The plasmids also carry genes responsible for resistance to other antibiotics for example, aminoglycosides, trimethoprim, sulphonamides, tetracycline and chloramphenicol.⁵ As a result, only few antibiotics are available to combat ESBL producers. Carbapenems are efficient against serious infections caused by ESBL producing organisms so far. However, carbapenem resistant organisms have been reported in recent years.⁶

ESBL producers are the important members of the group of antibiotic resistant pathogens that cause hospital acquired infections. Significant proportion of laboratories in Nepal does not perform tests to detect ESBL producers. Therefore, this issue is of particular concern that poses a great challenge to every laboratory as the proportion of ESBL producing Enterobacteriaceae members are growing worldwide.

Present study reveals the prevalence of *E. coli* and *Klebsiella spp* producing ESBL in Manipal Teaching Hospital, Pokhara, Nepal.

METHODS

Clinical isolates

All *E. coli* and *Klebsiella spp* isolated from various clinical specimens (urine, blood, pus, sputum and body fluids) in Manipal Teaching Hospital within six months (October 2011 to April 2012) were studied. A data sheet was prepared for each patient from whom these isolates were obtained. The data sheet included age, gender, type of specimen, ward along with antibiotic profile of the isolates. Total number of organisms in this study was 340 and each sample refers to each participants.

Bacterial isolates were identified by conventional microbiological methods⁷ based on colony character in MacConkey Agar plates and biochemical characteristics of the organisms shown in different media and tests.

Antibiotic sensitivity test

Kirby Bauer disk diffusion method was used to test the antibiotic sensitivity following Clinical laboratory Standards Institute (CLSI) guidelines.⁸ Antibiotics used for all the clinical isolates were ampicillin (10 μ g), cefotaxime (30 μ g), ceftriaxone (30 μ g), ceftazidime (30 μ g), amikacin (30 μ g), gentamicin (10 μ g), ciprofloxacin (5 μ g), netilmicin (30 μ g), and imipenem (10 μ g).

Screening test for ESBLs

According to CLSI guidelines,⁸ organisms (*E. coli* and *Klebsiella spp*) that show inhibition zone of ≤ 22 mm with ceftazidime (30 μ g), or ≤ 25 mm with ceftriaxone (30 μ g), or ≤ 27 mm with cefotaxime (30 μ g) in Mueller Hinton Agar (MHA) were recognized as potential ESBL producing organisms and further screened by determining minimum inhibitory concentration (MIC) of the same three antibiotics individually by Agar dilution procedure.

The isolates (*E. coli*, *K. pneumoniae* and *K. oxytoca*) growing with MIC ≥ 2 μ g/ml of the antibiotics (Ceftazidime, Cefotaxime, or Ceftriaxone) may indicate ESBL production.⁸ Control strain used for all these tests and procedure was *E. coli* NCTC 10418.

Confirmatory test for ESBLs

Phenotypic confirmatory test

Disks of third generation cephalosporins alone and disks of third generation cephalosporins plus clavulanic acid are required for this test.

Ceftazidime (30 µg) disk alone and ceftazidime + clavulanic acid (30 µg + 10 µg) disk; and cefotaxime (30 µg) disk alone and cefotaxime + clavulanic acid (30 µg + 10 µg) disk were used in this study. The disks were placed at a distance of at least 25mm on a carpet culture of the isolate on MHA plate. Differences in zone diameters of cephalosporins alone and in combination with clavulanic acid were recorded after incubation for 16-18 hours at 37°C.

Interpretation: ≥5mm increase in zone diameter around cephalosporin plus clavulanic acid disk compared to cephalosporin alone indicates ESBL production by the organism.

Statistical Analysis

Data from the case record forms were entered in the worksheet of Statistical Package for Social Science (SPSS) software of version 21.0. Frequency and percentages were analyzed as descriptive findings. Inferential statistics were analyzed using Chi square to see the association between the dependent variable (ESBL and non-ESBL) and the independent variables (e.g. age, gender, sources of sample, samples and organisms).

Ethical clearance was received from the Research Committee in Manipal Teaching Hospital, Pokhara. Sample from the participants were only included in the study after the verbal consent was taken from each one of them. Any refusal to contribute the sample was well respected. Anonymity of the participants was secured by coding each participants sample before the data analysis.

RESULTS

Total number of the clinical isolates in this study was 340. *E. coli* (n=285, 83.8%) and *Klebsiella* spp (n=55, 16.2%) were recovered from different clinical specimens. The specimens were urine 255 (75%), pus 48 (14.1%), blood 18 (5.3%), sputum 17 (5.1%) and body fluid 2 (0.6%). The largest number of samples were received from different wards (49.7%), followed by emergency room (ER) (27.4%), different outpatient departments (OPD) (13.8%), intensive care unit (ICU) (8.2%) and post-operative ward (0.9%) (Table 1).

Out of 340 total organisms (*E. coli* and *Klebsiella* spp) 120 showed resistances to one or two or all three antibiotics. Both ceftazidime (30 µg) and ceftriaxone (30 µg) detected 89.4% of ESBL producers

when used alone, while cefotaxime (30 µg) detected 100% of ESBL producers when used alone.

Among 120 organisms, 76 were confirmed as ESBL organisms by phenotypic confirmatory test with double disk diffusion method. Out of 76 ESBL producers, number of *E. coli* was 62 (18.2%) and *Klebsiella* spp was 14 (4.1%). Highest number of ESBL organisms was isolated from urine, accounting for 78.9% of all ESBL positive isolates recovered (Table 1).

Table 1. Socio-demographic characteristics of the participants (n=340)

Characteristics	Number (n)	Percentage (%)
Age in years		
≤ 20 Years	73	21.5
21 - 40 Years	99	29.1
41 - 60 Years	68	20
61 - 80 Years	80	23.5
≥ 81 Years	20	5.9
Mean = 42.55, Median = 40.00		
SD = 25.34, Skewness = 0.013		
Range = 0.003 - 91 years		
Sex		
Male	140	41.2
Female	200	58.8
Source of samples		
Ward	169	49.7
OPD	47	13.8
ER	93	27.4
ICUs	28	8.2
Post Op	3	0.9
Sample		
Urine	255	75
Blood	18	5.3
Pus	48	14.1
Sputum	17	5.1
Body Fluid	2	0.6
Isolated organism		
<i>E. coli</i>	285	83.8
<i>Klebsiella</i> spp	55	16.2

Antimicrobial susceptibility pattern

ESBL group of organisms were found to be 100% resistant to ampicillin and cefotaxime. All the organisms in this study were 100% sensitive to imipenem and 95.6% sensitive to cefoperazone+sulbactam (Table 2).

ESBL group showed increased resistance to ciprofloxacin, ceftriaxone, ceftazidime, cefazolin, cotrimoxazole, norfloxacin, netilmicin, gentamicin, amikacin and nitrofurantoin as compared to non ESBL group. ESBL producing organisms showed significantly higher multidrug resistance than non ESBL producing organisms. (Table 2)

Table 2. Antibiotic profile of isolates in relation to ESBL (n=340)

Characteristics	Number (%)	ESBL (%)	Non-ESBL (%)	p-value
Ampicillin				
Sensitive	60 (17.6)	0 (0)	60 (100)	<0.001
Resistant	280 (82.4)	76 (27.1)	204 (72.9)	
Ciprofloxacin				
Sensitive	119(35)	7(5.8)	113(94.2)	<0.001
Resistant	221(65)	69(31.4)	151(68.6)	
Gentamicin				
Sensitive	234(68.8)	28(12.0)	206(88.0)	<0.001
Resistant	106(31.2)	48(45.3)	58(54.7)	
Cefazolin				
Sensitive	57(16.8)	0(0)	57(100)	<0.001
Resistant	195(57.4)	60(30.8)	135(69.2)	
Not tested	88(25.9)	16(18.2)	72(81.8)	
Netilmicin				
Sensitive	245(72.1)	26(10.7)	218(89.3)	<0.001
Resistant	95(27.9)	50(52.6)	45(47.4)	
Cotrimoxazole				
Sensitive	108(31.8)	6(5.6)	102(94.4)	<0.001
Resistant	169(49.7)	57(33.7)	112(66.3)	
Not tested	63(18.5)	13(20.6)	50(79.4)	
Norfloxacin				
Sensitive	93(27.4)	7(7.5)	86(92.5)	<0.001
Resistant	159(46.8)	52(32.7)	107(67.3)	
Not tested	88(25.9)	17(19.3)	71(80.7)	
Nitrofurantoin				
Sensitive	248(72.9)	52(21.0)	196(79.0)	0.067
Resistant	76(22.4)	23(30.3)	53(69.7)	
Not tested	16(4.7)	1(6.3)	15(93.8)	
Cefoperazone-Sulbactam				
Sensitive	325(95.6)	61(18.8)	264(81.2)	<0.001
Resistant	15(4.4)	15(100)	0(0)	
Amoxiclav				
Sensitive	7(2.1)	1(14.3)	6(85.7)	0.705
Resistant	54(15.9)	14(25.9)	40(74.1)	
Not tested	279(82.1)	61(21.9)	218(78.1)	
Amikacin				
Sensitive	257(75.6)	29(11.3)	228(88.7)	<0.001
Resistant	83(24.4)	47(56.6)	36(43.4)	
Ceftazidime				
Sensitive	235(69.1)	8(3.4)	227(96.6)	<0.001
Resistant	105(30.9)	68(64.8)	37(35.2)	
Ceftriaxone				
Sensitive	233(68.5)	8(3.4)	225(96.6)	<0.001
Resistant	107(31.5)	68(63.6)	39(36.4)	
Cefotaxime				
Sensitive	222(65.3)	0(0)	222(100)	<0.001
Resistant	118(34.7)	76(64.4)	42(35.6)	

Potential ESBL producing organisms can be screened by either simple disk diffusion tests (DDT) with different third generation cephalosporins or by determining MIC of the same antibiotics. Cefotaxime was found to be more reliable drug to detect poten-

tial ESBL producers by both methods. Among two methods, Kirby Bauer disk diffusion method is more sensitive to detect potential ESBL producers. However, determination of MIC method is more specific in detecting potential ESBL producers. (Table 3)

Table 3. Comparison of screening tests (Minimal inhibitory concentration= MIC; and Double Disk Diffusion Test=DDDT)

Antibiotic	Non-ESBL		Potential ESBL producers	
	MIC	DDT	MIC	DDT
Ceftazidime	14 (11.6%)	3 (2.5%)	106 (88.3%)	117 (97.5%)
Ceftriaxone	13 (10.9%)	8 (6.7%)	107 (89.1%)	112 (93.3%)
Cefotaxime	11 (9.2%)	2 (1.7%)	109 (90.8%)	118 (98.3%)

The phenotypic confirmation of ESBL positive bacteria were done by Double Disk Diffusion Test (DDDT) with two combinations, 1) ceftazidime (CAZ) alone and ceftazidime plus clavulanic acid (CAC) and, 2) cefotaxime (CTX) alone and cefotaxime plus clavulanic acid (CEC). Seventy six (22.4%) isolates showed ESBL positive by both combinations (CAZ+CAC, and CTX+CEC). (Table 4)

Table 4. Detection of ESBL by Double Disk Diffusion test as confirmatory test

Organism	CAZ, CAC	CTX, CEC	Both
<i>E. coli</i>	65 (19.1%)	63 (18.5%)	62 (18.2%)
<i>Klebsiella spp</i>	14 (4.1%)	15 (4.4%)	14 (4.1%)
Total	79 (23.2%)	78 (22.9%)	76 (22.4%)

Ceftazidime (CAZ), ceftazidime plus clavulanic acid (CAC)

Cefotaxime (CTX), cefotaxime plus clavulanic acid (CEC)

DISCUSSION

The incidence of ESBL organisms varies significantly all over the world. In this study, majority of the organisms were isolated from urine (75%) where *E. coli* is the major ESBL producer (18.2 %). The major source of ESBL producers were respiratory tract samples in another study and the highest ESBL production was observed in *Klebsiella spp* (67.04%).⁹ However, Arif Maqsood Ali found *Enterobacter cloacae* (76%) as the most frequent ESBL producer.¹⁰ A study on ESBL organisms, causing UTI, *E. coli* (64.0%) followed by *Klebsiella spp* (17.9%) were found as the most common organisms.¹¹

The prevalence of ESBL producers is 22.4% in this study. Findings from other studies in Nepal have shown ESBL production ranging from 18% to 62.7%.¹¹⁻¹³ Variation might have occurred due to

low number of samples studied. Similarly, variation in prevalence of ESBL producing organisms were found in other countries.¹⁴⁻²³ Significant increase in ESBL organisms were published from India,¹⁴⁻¹⁸ Pakistan,^{19,20} Nigeria,²¹ Hong Kong²² and Germany.²³

During a six years period (1997-2002), prevalence of ESBL producing *Klebsiella spp* were reported from Latin America (42.7%), Europe (21.7%) and North America (5.8%).²⁴ In USA, Enterobacteriaceae producing ESBL ranged between 0-25% in different institutions, while the national average was around 3%.²⁵ Strict antibiotic policies might be the reason for lower rate of ESBL organisms in Europe and America.

In the present study, all organisms confirmed as ESBL producers by phenotypic confirmatory tests showed resistant to cefotaxime. The sensitivity of both ceftazidime and ceftriaxone to detect ESBL production was 89.4%. In Hong Kong, the sensitivity of different extended spectrum β -lactam drugs was studied. The study found cefotaxime as 100% sensitive to indicate ESBL activity.²² Paudyal et al found cefotaxime as the reliable screening agent for ESBL detection with sensitivity and positive predictive value of 98.6% and 76.4% respectively.¹³ This finding is consistent with a study in India which found ESBL producers resistant to cefotaxime, ceftriaxone and ceftazidime by 87.5%, 83.7% and 81.2% respectively.¹⁴ This implies that cefotaxime (30 μ g) can be the drug of choice to screen out ESBL producers.

Present study showed the co-resistance of ESBL producers to different antibiotics such as ciprofloxacin (90.7%), gentamicin (63.1%), amikacin (61.8%) and cotrimoxazole (90.4%). This type of co-resistance was consistent with the studies in Australia²⁶ and Pakistan.²⁷ Moreover, all the organisms were 100% sensitive to imipenem and 95.6% sensitive to ceftoperazone + sulbactam. The 4.4%

of isolates which showed resistance to cefoperazone + sulbactam might be because of AmpC type of β -lactamase and ESBL production. This finding is consistent with the study by Thakur et al in Nepal where all organisms were sensitive to imipenem (100%) followed by meropenem (94.4%).¹¹ In another study by S. Sharma et al, gram negative bacteria were tested against different antibiotic combinations. Among all combinations with β -lactam inhibitor, the most effective combination against ESBL organisms was cefepime plus tazobactam.¹⁸ Future studies should explore the role of different combinations as alternative drugs to carbapenems in order to treat ESBL infections effectively.

Numerous studies have reiterated the importance of antibacterial agents and their rational use. In addition, effective infection management measures and the need of newer antibiotics are to be prioritized.²⁸ At present, development of resistance by bacteria has been the biggest challenge.²⁹ The challenge of producing newer antibiotics in next 5-10 years to keep up with emerging multi drug resistant infections could rise. Enhanced infection control strategies, along with the rational use of antibiotics could be important factors to reduce the spread of ESBL producers.

Carbapenems are established as drug of choice for ESBL infections. People in developing countries like Nepal can hardly afford this treatment. Moreover, this is the reserved group of antibiotics which should be used very rationally. There has been recent evidence of selection for carbapenem resistant organisms.⁶

Limitation

Although utmost sincerity and dedication was invested to carry out the study it could not go beyond some limitations. Molecular studies for detection of various classes of ESBL were not included in this study. The factors leading to co-resistance with other antibiotics were not studied. No analysis was done to differentiate different types of ESBL and their clinical significance.

CONCLUSION

E. coli and *Klebsiella spp* showed the major prevalence of ESBL production in Manipal Teaching Hospital. Phenotypic confirmatory test with double disk diffusion method is simple and economical to detect ESBL producers. Standard ESBL detection method is required in laboratory which can direct the appropriate antibiotics for the treatment.

Studies from various parts of the country are mandatory to conclude the overall prevalence of ESBL producing pathogens. In addition, molecular level study is essential to identify the type of ESBL organisms that are prevalent in a given setting.

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